# MAR 0 5 2004 EARLY STATES PATENT AND TRADEMARK OFFICE

Applicant:

Peter M. Bonutti

Examiner: TBA

Serial No.:

10/729,634

Group: Art Unit TBA

Filed:

December 5, 2003

Docket: 2500 DIV II CON II DIV III CON IV

(203-3515 DIV II CON II DIV III CON IV)

For:

FLUID OPERATED

**RETRACTORS** 

Dated: March 3, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to applicant's continuing duty of disclosure, it is respectfully requested that the disclosures cited in the annexed Form PTO-1449 be considered by the Examiner and made of record in the above-identified application.

The references listed were previously cited and submitted in the parent application, U.S. Application Serial No. 10/662,923, filed September 15, 2003. In accordance with 37 C.F.R. §1.98(d) and 35 U.S.C. §120, copies of the references can be obtained in the above-identified application.

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 3, 2004.

Dated: March 3, 2004

Dana A Brussel

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

- [X] This information disclosure statement is being filed within three (3) months of the filing date of this application.
- [] This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application.
- [] To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- [] Enclosed herewith is a certificate under 37 C.F.R. §1.97(e)(1).
- [] Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(ii).
  - [] Enclosed by check is the petition fee of \$130.00. (37 C.F.R. §1.17(i)(1))
  - [] Please charge the \$130.00 petition fee to Deposit Account No. <u>50-</u>2140.

Enclosed by check is the \$180.00 fee required by 37 C.F.R. §1.17(p).

[] Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) to Deposit

Account No. 50-2140.

Please charge any deficiency as well as any other fee(s) which may [X]

become due under 37 C.F.R. §1.16 and/or 1.17 at any time during the

pendency of this application, or credit any overpayment of such fee(s) to

Deposit Account 50-2140. Also, in the event any extensions of time for

responding are required for the pending application(s), please treat this

paper as a petition to extend the time as required and charge Deposit

Account No. 50-2140 therefor. TWO (2) COPIES OF THIS SHEET

ARE ENCLOSED.

The claims of the application as now presented are believed to patentably distinguish over

the prior art and to be in condition for allowance. Early and favorable consideration of the case

is respectfully requested.

Respectfully submitted,

Dana A. Brussel

Reg. No. 45,717

Attorney for Applicant(s)

Carter, DeLuca, Farrell & Schmidt, LLP 445 Broad Hollow Road

Suite 225

Melville, New York 11747

Tel.: (631) 501-5713

Fax: (631) 501-3526

DAB/jjp



Sheet

1 of

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

Docket Number (Optional)

**Application Number** 

2500 DIV II CON II DIV III CON IV (203-3515 DIV II CON II DIV III CON IV)

10/729,634

Applicant

Peter M. Bonutti

(Use several sheets if necessary)

Filing Date

Group Art Number

December 5, 2003

TBA

#### **U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1,863,057	Jun., 1932	Innes			
	1,909,967	May., 1933	Jones			
	2,854,983	Oct., 1958	Baskin			
	2,936,760	May., 1960	Gants			
	3,039,468	Jun., 1962	Price			
	3,253,594	May., 1966	Matthews et al.			
	3,397,699	Aug., 1968	Kohl			
	3,417,745	Dec., 1968	Sheldon			
	3,459,175	Aug., 1969	Miller			
	3,495,586	Feb., 1970	Regenbogen			
	3,774,596	Nov., 1973	Cook			
	3,800,788	Apr., 1974	White			
	3,882,852	May., 1975	Sinnreich			
	3,915,171	Oct., 1975	Shermeta			
P	4,077,412	Mar., 1978	Moossun			
3	4,083,369	Apr., 1978	Sinnreich			
	4,177,814	Dec., 1979	Knepshield et al.			
	4,198,981	Apr., 1980	Sinnreich			
	4,230,119	Oct., 1980	Blum			
	4,295,464	Oct., 1981	Shihata	•		
	4,312,353	Jan., 1982	Shahbabian		·	
	4,447,227	May., 1984	Kotsanis			•
	4,501,266	Feb., 1985	McDaniel			
	4,555,242	Nov., 1985	Saudagar			
	4,572,186	Feb., 1986	Gould et al.			
	4,585,000	Apr., 1986	Hershenson			

**Examiner** 

**Date Considered** 

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Form PTO-1449

Docket Number (Optional) 2500 DIV II CON II DIV III CON IV (203**Application Number** 

3515 DIV II CON II DIV III CON IV)

10/729,634

Applicant

Peter M. Bonutti

(Use several sheets if necessary)

Filing Date December 5, 2003 Group Art Number

TBA

### **U.S. PATENT DOCUMENTS**

Number 4,589,686 4,608,965 4,610,662	Date May., 1986 Sep., 1986	Name Dretler	Class	Subclass	if Appropriate
4,608,965		<del></del>		4 '	1
		Anspach, Jr. et al.	T		
<del></del>	Sep., 1986	Weikl et al.			
4,615,704	Oct., 1986	Frisch			
4,651,717	Mar., 1987	Jakubczak			
4,706,670	Nov., 1987	Andersen et al.			
4,779,611	Oct., 1988	Grooters et al.			
4,798,205	Jan., 1989	Bonomo et al.			
4,800,901	Jan., 1989	Rosenberg			
4,802,479	Feb., 1989	Haber et al.			
4,861,334	Aug., 1989	Nawaz		T	
4,875,468	Oct., 1989	Krauter et al.			
4,899,729	Feb., 1990	Gill et al.			
4,921,478	May., 1990	Solano et al.			
4,927,412	May., 1990	Menasche			
4,932,956	Jun., 1990	Reddy et al.			
4,954,126	Sep., 1990	Wallsten			
4,966,583	Oct., 1990	Debbas			
4,984,564	Jan., 1991	Yuen			
4,994,047	Feb., 1991	Walker et al.			
4,998,539	Mar., 1991	Delsanti			
5,002,557	Mar., 1991	Hasson			
5,041,093	Aug., 1991	Chu			
5,042,976	Aug., 1991	Ishitsu et al.			
5,122,122	Jun., 1992	Allgood			
5,163,949	Nov., 1992	Bonutti			
5,514,091	May., 1996	Yoon			
	4,706,670 4,779,611 4,798,205 4,800,901 4,802,479 4,861,334 4,875,468 4,899,729 4,921,478 4,927,412 4,932,956 4,954,126 4,966,583 4,984,564 4,994,047 4,998,539 5,002,557 5,041,093 5,042,976 5,122,122 5,163,949	4,706,670Nov., 19874,779,611Oct., 19884,798,205Jan., 19894,800,901Jan., 19894,802,479Feb., 19894,861,334Aug., 19894,875,468Oct., 19894,899,729Feb., 19904,921,478May., 19904,927,412May., 19904,932,956Jun., 19904,954,126Sep., 19904,966,583Oct., 19904,984,564Jan., 19914,998,539Mar., 19915,002,557Mar., 19915,041,093Aug., 19915,042,976Aug., 19915,122,122Jun., 19925,163,949Nov., 1992	4,706,670         Nov., 1987         Andersen et al.           4,779,611         Oct., 1988         Grooters et al.           4,798,205         Jan., 1989         Bonomo et al.           4,800,901         Jan., 1989         Rosenberg           4,802,479         Feb., 1989         Haber et al.           4,861,334         Aug., 1989         Nawaz           4,875,468         Oct., 1989         Krauter et al.           4,899,729         Feb., 1990         Gill et al.           4,921,478         May., 1990         Menasche           4,927,412         May., 1990         Menasche           4,932,956         Jun., 1990         Reddy et al.           4,954,126         Sep., 1990         Wallsten           4,966,583         Oct., 1990         Debbas           4,984,564         Jan., 1991         Yuen           4,994,047         Feb., 1991         Walker et al.           4,998,539         Mar., 1991         Delsanti           5,002,557         Mar., 1991         Hasson           5,041,093         Aug., 1991         Ishitsu et al.           5,122,122         Jun., 1992         Allgood           5,163,949         Nov., 1992         Bonutti	4,706,670         Nov., 1987         Andersen et al.           4,779,611         Oct., 1988         Grooters et al.           4,798,205         Jan., 1989         Bonomo et al.           4,800,901         Jan., 1989         Rosenberg           4,802,479         Feb., 1989         Haber et al.           4,861,334         Aug., 1989         Nawaz           4,875,468         Oct., 1989         Krauter et al.           4,899,729         Feb., 1990         Gill et al.           4,921,478         May., 1990         Solano et al.           4,927,412         May., 1990         Menasche           4,932,956         Jun., 1990         Reddy et al.           4,954,126         Sep., 1990         Wallsten           4,966,583         Oct., 1990         Debbas           4,984,564         Jan., 1991         Yuen           4,998,539         Mar., 1991         Walker et al.           4,998,539         Mar., 1991         Hasson           5,041,093         Aug., 1991         Ishitsu et al.           5,042,976         Aug., 1991         Ishitsu et al.           5,122,122         Jun., 1992         Allgood           5,163,949         Nov., 1992         Bonutti <td>4,706,670       Nov., 1987       Andersen et al.         4,779,611       Oct., 1988       Grooters et al.         4,798,205       Jan., 1989       Bonomo et al.         4,800,901       Jan., 1989       Rosenberg         4,802,479       Feb., 1989       Haber et al.         4,861,334       Aug., 1989       Nawaz         4,875,468       Oct., 1989       Krauter et al.         4,899,729       Feb., 1990       Gill et al.         4,921,478       May., 1990       Solano et al.         4,927,412       May., 1990       Menasche         4,932,956       Jun., 1990       Reddy et al.         4,954,126       Sep., 1990       Wallsten         4,966,583       Oct., 1990       Debbas         4,984,564       Jan., 1991       Yuen         4,994,047       Feb., 1991       Walker et al.         4,998,539       Mar., 1991       Delsanti         5,002,557       Mar., 1991       Hasson         5,041,093       Aug., 1991       Chu         5,042,976       Aug., 1991       Ishitsu et al.         5,122,122       Jun., 1992       Allgood         5,163,949       Nov., 1992       Bonutti</td>	4,706,670       Nov., 1987       Andersen et al.         4,779,611       Oct., 1988       Grooters et al.         4,798,205       Jan., 1989       Bonomo et al.         4,800,901       Jan., 1989       Rosenberg         4,802,479       Feb., 1989       Haber et al.         4,861,334       Aug., 1989       Nawaz         4,875,468       Oct., 1989       Krauter et al.         4,899,729       Feb., 1990       Gill et al.         4,921,478       May., 1990       Solano et al.         4,927,412       May., 1990       Menasche         4,932,956       Jun., 1990       Reddy et al.         4,954,126       Sep., 1990       Wallsten         4,966,583       Oct., 1990       Debbas         4,984,564       Jan., 1991       Yuen         4,994,047       Feb., 1991       Walker et al.         4,998,539       Mar., 1991       Delsanti         5,002,557       Mar., 1991       Hasson         5,041,093       Aug., 1991       Chu         5,042,976       Aug., 1991       Ishitsu et al.         5,122,122       Jun., 1992       Allgood         5,163,949       Nov., 1992       Bonutti

⊨xamıner

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

				Sneet	3	Of	4
Form PTO-1	449		Docket Number (Optional)		Application	Number	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION			2500 DIV II CON II DIV III CON IV (203- 3515 DIV II CON II DIV III CON IV)		10/729,634		
			Applicant				
				Peter M. Bor	utti		
(Use several sheets if necessary)			Filing Date December 5, 2003		Group Art Number TBA		
		U.S. PATE	NT DOCUMENTS				
Examiner	Document				T	Filing D	
Initial	Number	Date	Name	Class	Subclass	if Approp	riate
		FOREIGN PA	TENT DOCUMENTS	S			
	Document					Transla	tion
2,8,20	Number	Date	Country	Class	Subclass	Yes	No
	2,580,504	Oct., 1986	FR				
	1,323,090	Jul., 1987	SU				
	594,960	Mar. 1978	SU				
		OTUE	DOCUMENTS				
	Rafael Cinelli, M.D., "The Mammaplasty." From the Center and Medical Arts	Division of Plastic	Surgery, Department of				al
	Bruce M. Rigg, M.D., "Inf			Augmentatio	n Mamapla	stv."	
	Reconstructive Surgery,	May 1982.				•	
	Gordon H. Sasaki, M.D.,				n Immediate	)	
	Reconstructive Techniqu					: " -	i-l
	Gordon H. Sasaki, M.D., Plastic Surgery, 5:4, Jul.		pansion, i w. as an immed	diate Reconst	ructive recr	inique. F	aciai
	Declaration of Bela S. De	enes, M.D., in Supp	ort of GSI's Opposition to	o Origin's Mot	ion for Sum	mary Judo	ment
	of Invalidity.						
	Declaration of John H. P.	ayne, M.D., in Supp	oort of GSI's Opposition t	o Origin's Mot	ion for Sum	imary	
	Judgment of Invalidity.  Declaration of Rafael Cin	alli M.D. in Cunna	et of CCUs Opposition to	Origin's Matie	n for Cumn	some leeder	mont
	of Invalidity.	ieili, ivi.D., in Suppo	or GSI's Opposition to	Origin's Motic	on for Sumn	nary Judgi	nent
	Rebuttal Expert Report o	f John H. Payne, M	.D.				
	Rebuttal Expert Report o						
Examin	er			Date Co	nsidered		
Examiner:	Initial if citation considere	d, whether or nor cit	ation is in conformance w	vith MPEP §60	9; Draw line	through:	

citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

	Sheet Sheet	4 of 4				
Form PTO-1449	Docket Number (Optional)	Application Number				
INFORMATION DISCLOSURE CITATION	2500 DIV II CON II DIV III CON IV (203- 3515 DIV II CON II DIV III CON IV)	10/729,634				
IN AN APPLICATION	Applicant					
	Peter M. Bonutti					
(Use several sheets if necessary)	Filing Date December 5, 2003	Group Art Number TBA				
OTHE	R DOCUMENTS					
Expert Report of Ernest K. Manders, M.D., executed date Dec. 1, 1997.						
Expert Report of Guy Voeller, M.D., exe	Expert Report of Guy Voeller, M.D., executed date Dec. 1, 1997.					
al., "Anclaje cervical endoscopico. Nuev	Declaration of Guy Voeller in Support of Origin's Motion for Summary Judgment of Invalidity Sanchez et al., "Anclaje cervical endoscopico. Nuevo tratamiento para la incontinencia de esfuerzo." Arch. Esp. de urol., 41.2 (127-130), 1988(English translation attached).					
Maxwell D18214, "Immediate Intraopera	Maxwell D18214, "Immediate Intraoperative Expansion in Augmentation Mammoplasty." (Videotape).					
	"Nasal Reconstruction with the Rapidly Expanded Forehead Flap," Laryngoscope, 99:1096-1098, Henry T. Hoffman, M.D., and Shan H. Baker, M.D.					
Retroperitoneoscopy: A Preliminary Rep	Retroperitoneoscopy: A Preliminary Report, R. Wittmoser, pp. 760-761.					
Stress Incontinence: A New Endoscopic vol. 36, No. 5, pp. 403-405.	Stress Incontinence: A New Endoscopic Approach, Dr. Sanchez de Badajoz Chammorro et al., Urology, vol. 36, No. 5, pp. 403-405.					
Order Denying Plaintiff General Surgical Claim 3 or U.S. Patent No. 5,514,153.	Order Denying Plaintiff General Surgical Innovations, Inc.'s Motion for Partial summary Judgment of Claim 3 or U.S. Patent No. 5,514,153.					
Declaration of Edward L. Felix, M.D., in Summary Judgment.	Declaration of Edward L. Felix, M.D., in Support of origin's Opposition to GSI's Motion for Partial Summary Judgment.					
Uretropexia Laparoscopia, Arch. Esp. de	Uretropexia Laparoscopia, Arch. Esp. de Urol. 46, 7 (642-644) 1993 (With English Translation).					
Urethropexia Laparoscopica Extraperito Translation Attached).	neal, Arch. Esp. de Urol. 47.4 (415-4	18) 1994 (English				
Examiner		nsidered				
Everyings, Initial if citation considered whether and	A side Alone in in some former or sould REDE	D. CCOO. Daniella a Abancella				

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.